

**DESCRIPTION:**

The Examiner-in-Charge School is designed to train attendees to evaluate management and to recognize practices that increase a bank's exposure to risk. They also receive guidance and practice conducting board meetings. During the week long resident session, attendees will engage in several interactive discussions in which they focus on examination scoping, findings, and management as well as exit meetings and board presentations. Each attendee will receive "next steps" after the completion of the resident session; these steps are routine examination functions which will be easily completed during the normal course of on-the-job (OJT) training.

**LEARNING FORMAT:**

Classroom-based learning featuring case studies and limited lectures; OJT

**LEARNING OBJECTIVES:**

Upon completion of this course, attendees are able to:

- Assess an institution's present and future risk.
- Recognize management's ability to monitor and control risk.
- Present issues effectively to management and Board of Directors in a clear, concise and professional manner.

**TIME COMMITMENT:**

- Pre-Course Work: 1 hour Orientation Call, OJT applications
- Resident Session: 4.5 days
- Post-Course Work: OJT applications

**LEVEL/TARGET AUDIENCE:**

Examiners preparing to take the role of Examiner-in-Charge

**PRE-APPROVED CPE CREDIT HOURS:**

40 CEHs. Hours are subject to change.

**FEEDBACK FROM PRIOR PARTICIPANTS:**

To view prior course evaluations, click [here](#).

**Examiner-in-Charge School Survey Results  
San Diego, California  
September 14-18, 2015**

**Attendance:** 16 attendees from 4 states

**Evaluation Responses:** 50% of attendees completed the evaluation (8 of 16)

<b>Please rate the content of the session:</b>			
<b>Monday, September 14, 2015</b>			
Home Bank Case Study Exercise	1.50		
Evaluating Management	1.88	Instructor 2	1.50
Exam Admin/Scoping Activity	2.38	Instructor 2	1.63
<b>Overall Day 1</b>	<b>1.88</b>		
<b>Tuesday, September 15, 2015</b>			
Initial Meetings with Management	2.00	Instructor 2 and Instructor 1	1.63
Improv	1.88		
Developing Initial Scoping Objectives	2.00	Instructor 2	1.63
Final Case Study Materials	1.88		
Final Case Study Prep Time	2.25		
Review Scoping Objectives	2.00		
<b>Overall Day 2</b>	<b>1.88</b>		
<b>Wednesday, September 16, 2015</b>			
Exit/ Wrap up Meetings	2.13	Instructor 2 and Instructor 1	1.75
Conducting Board/Exit Meetings	1.88	Instructor 1	1.88
Writing the ECC Page Assignment Guidelines	2.38	Instructor 2	1.88
Preparation for Board Meetings	2.00		
<b>Overall Day 3</b>	<b>2.00</b>		
<b>Thursday, September 17, 2015</b>			
Board Meetings	1.63		
<b>Overall Day 4</b>	<b>1.88</b>		
<b>Friday, September 18, 2015</b>			
Board Meeting Review	2.00		
After Action Review	2.00	Instructor 1	1.75
<b>Overall Day 5</b>	<b>1.88</b>		
<b>Overall Examiner-in-Charge School</b>			
<b>Please Rate:</b>			
Overall School			<b>1.88</b>
Location - DoubleTree San Diego			<b>1.88</b>
<b>Comments:</b>			
<ul style="list-style-type: none"> <li>• Survey should be modified. I think going by day and topic is not an efficient way to rate a class.</li> </ul>			

\*Instructor names have been eliminated.

**Examiner-in-Charge School Survey Results**  
**San Diego, California**  
**September 14-18, 2015**

- The class was good and covered useful information, but I felt that more could be added. A suggestion would be to perhaps have draft ECC comments for the other areas and, in conjunction with writing the mgmt. comment, edit and organize the ECC pages. This would allow newer examiners to deal with organizing the ECC pages and determining which information is useful to maintain or remove for report purposes. Since only one comment is required to be written by participants, this would add another activity and provide additional hands on experience.
- It was nice to do the board exit meetings in a supportive environment and make mistakes there rather than in a real world. Overall, I think the material and preparation were good, but it was difficult to know all the material with all the given time to us.
- This course is too similar to Effective Meetings with Management. For someone that took that course prior to this course, this course was not beneficial. With that said, I think that the Effective Meetings with Management should remain while this course can tailored to writing an ROE.
- Overall the class was helpful. At times it seemed to be a little light on material (and a little too much prep time in between exercises). I also thought it was a little odd that we wrote management comments without a whole lot of guidance or discussion on what should be in a management comment. But overall, it was good practice with the presentations and a useful class.