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| **Baseline Cybersecurity Exam Program**  **Pre-Exam Officer's Questionnaire** | | | | | |
| **Question #** | **QUESTION** | **YES** | **NO** | **N/A** | **Comments** |
| **1** | Does your institution have an employee(s) with the designated responsibility for implementing the information security program? |  |  |  |  |
| **1a** | If so, please provide the Name, Title, and Contact information for the designated individual(s). |  |  |  |  |
| **2** | Provide a list of all IT and IS related audits performed in the last 24 months. If an audit was performed, provide the name of the auditor and the date completed. |  |  |  |  |
| **3** | How many people does your institution employ? |  |  |  |  |
| **4** | How many branches and/or locations does your institution operate? |  |  |  |  |
| **6** | Is activity conducted internationally? If so, in what countries? |  |  |  |  |
| **7** | Are online transactions performed or enabled? |  |  |  |  |
| **8** | Is your institution subject to PCI compliance? |  |  |  |  |
| **8a** | If so, what is your institution's PCI compliance level? |  |  |  |  |
| **8b** | Has your institution received a SOC 2 Type 2 audit? If so, provide a copy of the report. |  |  |  |  |
| **9** | What is the daily transaction volume? |  |  |  |  |
| **10** | What is the average transaction dollar volume? |  |  |  |  |
| **11** | What is the transaction origination source/channel? |  |  |  |  |
| **12** | Does your institution manage its own datacenter? |  |  |  |  |
| **13** | Is any development performed in-house including front end UI, middleware/API, and backend data manipulation? |  |  |  |  |
| **14** | How many servers? |  |  |  |  |
| **15** | How many PCs? |  |  |  |  |
| **16** | Have any cybersecurity incidents occurred in the past 24 months? If yes, how many? |  |  |  |  |
| **17** | Are cloud services used? |  |  |  |  |