



One State Street, New York, NY 10004

**An Equal Opportunity/Affirmative Action Employer**

## **Announcement of Intention to Fill Job Vacancy**

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### **Deputy Superintendent of Banking**

**Location:** One State Street, NYC

**Business Unit:** Executive

**Negotiating Unit:** Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

**Salary:** Salary commensurate with experience.

**Appointment Status:** This is an appointment to a position in the exempt jurisdictional class.

**Appointment to this position is pending Division of Budget approval to fill.**

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The Department of Financial Services seeks applicants for the position of Deputy Superintendent for Banking in the Executive Office located in New York City. The Deputy Superintendent is responsible for assisting the Executive Deputy Superintendent for Banking in all supervisory, regulatory and legislative issues, related to the operations and activities of all units of the Banking Division.

Duties include, but will not be limited to, the following:

- Assists in implementation of the Superintendent's goals and objectives for the Banking Division;
- Assists Executive Deputy Superintendent of Banking with respect on all matters relating to all units of the Banking Division;
- Assists with establishing policies, procedures, guidelines and appropriate supervisory actions relating to all units of the Banking Division;
- Reviews and advises on New York State laws, rules, regulations, policies, procedures and guidelines which may affect financial institutions regulated by the Banking Division and keeps informed on regulatory changes as they may impact such institutions;
- Reviews and advises on laws, rules, regulations, policies and procedures of federal or other regulatory authorities which may affect financial institutions regulated by the Banking Division;
- Remains abreast of evolving intersection of technology and financial services, including a variety of business models used to leverage new technologies to offer variety of financial services to consumers and businesses;
- Remains abreast of the variety of financial transactions and products offered by the financial institutions under Banking Division's supervision;
- Participates in meetings with regulated institutions and relevant industry groups;
- Assists with DFS's efforts in coordinating with other regulatory agencies;
- Participates in Conference of State Bank Supervisors Committees relevant to the Banking Division; and
- Develops a collaborative working relationship with other divisions within DFS.

**Preferred Skills:**

JD Preferred

**Appointment Method:**

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than May 31, 2019**, to the email address listed below. Please include (**Box DSB-00816**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Denise Rotunda  
**Box DSB-00816**  
New York State Department of Financial Services  
Office of Human Resources Management  
99 Washington Avenue, Suite 301  
Albany, New York 12257  
Email: nce.notifications@dfs.ny.gov  
Fax: (518) 402-5071

Please note that not all applicants may be scheduled for an interview.

**AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov.***