Job Posting Details

Chief of Regulation & Supervision (Principal Executive/Manager E)
REQ-31232 Chief of Regulation & Supervision (Principal Executive/Manager E) (Open)

Job Family: Principal Executive/Manager
Start Date: 01/30/2020
End Date: 03/02/2020

Worker Sub-Type: Permanent
Location: Salem / Labor & Industries Building - DCBS
Time Type: Full time

Supervisory Organization: DFR Operations - DCBS

Description

Initial Posting Date:
01/30/2020
Application Deadline:
03/01/2020

Agency:
Department of Consumer & Business Services

Salary Range:
$5,953 - $8,765

Position Type:
Employee

Position Title:
Chief of Regulation & Supervision (Principal Executive/Manager E)

Job Description:

Salary Information
The State of Oregon utilizes two different salary ranges for each position, depending on if the employee in that position is a PERS member. The reason for this is for PERS members, salary is increased by 6.95% and 6% of that increase is used to pay the employee contribution to their PERS account. The 0.95% is intended to cover any additional taxes that may result from the increased salary. The PERS salary range for this position is $6,367-$9,374.

The successful candidate will be eligible for a 10% differential upon completing, obtaining, and providing proof of certification for one or more of the following certifications:

- Certified Operations Examiner (COE)
- Certified Credit Examiner (CCE)
- Certified Examiner in Charge (CEIC)
- Certified Information Systems Examiner (CISE)
- Certified Examiner Manager (CEM)
- Certified State Credit Union Examiner (CSCUE)
- Certified State Credit Union Examination Supervisor (CSCUES) or
- Federal Commissioned Examiner

An employee is eligible for only one ten percent differential regardless of how many certifications the employee obtains from the list above.

Our mission...
To protect and serve Oregon's consumers and workers while supporting a positive business climate.

The Department of Consumer and Business Services (DCBS) is a progressive business regulatory state agency dedicated to the mission of protecting and serving Oregon's consumers and workers while supporting a positive business climate. The department administers state laws and rules governing workers' compensation, occupational safety and health, financial institutions, insurance companies and
building codes. The department has consumer protection and education programs, offices, and ombudsmen to help consumers, injured workers, and businesses.

This position is with the Division of Financial Regulation (DFR). DFR’s mission supports that of the department by protecting Oregonians’ access to fair products and services through education, regulation, and consumer assistance. The division is responsible for ensuring the safety and soundness of financial institutions, the availability and affordability of financial products, and the fair treatment of consumers. Functions include licensing, regulating, and monitoring the conduct of banks, credit unions, financial services providers, health care service contractors, insurance companies, and licensed or registered agents of such entities.

The Banking & Securities program administers and enforces the state’s banking and securities statutes and rules. The scope of this mandate includes the regulation and supervision of state-chartered banks and trust companies, out-of-state banks, bank holding companies, issuers of securities, investment adviser firms and representatives, and broker dealers. These regulatory activities protect consumers while promoting a positive business climate and maintaining public confidence in the financial system.

This is a management service position and is not represented by a union.

**What's in it for you:**

- Rewarding work in a productive and creative environment
- Colleagues who are passionate about public service
- Work/life balance, 10 paid holidays a year, and a competitive benefits package
- Advancement and learning opportunities that will help grow your career with the State of Oregon
- Live, work, and play in Salem, Oregon

**Here's what you will do:**

The purpose of this position is to provide leadership and direction for the Banking & Securities program. The Chief of Regulation & Supervision (CSR) leads a staff of bank examiners, securities analysts/examiners, registration/enforcement attorneys, and support personnel. The goals of the program are accomplished by conducting examinations of banks, trust companies, investment advisers and broker-dealers; evaluating various applications and requests for approval as required by law; licensing investment advisors and broker dealers and their representatives and salespersons; examining books and records of investment advisers and broker-dealers; making determinations with respect to applications for registration of securities for sale to Oregonians; investigating and resolving consumer inquiries or complaints; and, when necessary, determining appropriate enforcement actions.

Additionally, the CSR works with stakeholders, consumer advocates, and staff to develop legislation and rules; advises senior division and department management on policy issues involving banking and securities laws and regulations; and provides counsel and expertise to the staff, deputy administrator, administrator, and director. The CSR speaks for the Administrator on matters of policy concerning the program; serves as a member of the division’s executive management team; and, participates in strategic planning, policy discussions, and operation decisions that have statewide implications for consumers, businesses and other stakeholders. The CSR provides subject matter input to the Administrator, and acts with the administrator’s authority in nationwide initiatives to advance Oregon’s and the department’s policy interests.

Obtain the complete listing of job duties by clicking here.

**Here's what you need to qualify:**

**Minimum Qualifications:**
1) Three (3) years of management experience in a public or private organization which included responsibility for each of the following:

- Development of program rules and policies
- Development of long- and short-range goals and plans
- Program evaluation
- Budget preparation

OR

2) 45-48 quarter hours (30-32 semester hours) of graduate level coursework in management and two (2) years of management experience in a public or private organization which included responsibility for each of the following:

- Development of program rules and policies,
- Development of long- and short-range goals and plans,
- Program evaluation
- Budget preparation

OR

3) Three (3) years of professional experience as a program/project leader, assigning and reviewing work of professional staff (e.g., accountants, auditors, etc.) including project responsibility for developing goals and objectives, project evaluation, and monitoring and controlling or preparing a budget.

In the "Work History" section on your application, you must clearly describe your experience in each of the a), b), c), d) areas listed. Failure to provide this information may result in eliminating your application from further consideration.

Requested Skills:

- Experience working in a banking, credit union or trust company demonstrating compliance with federal depository regulations
- Experience with corporate securities, investment or as a professional financial planner
- Experience supervising professional staff, accountants, auditors, etc.
- Demonstrated success in a leadership/management position

Please ensure that you clearly demonstrate in your application materials that you meet the qualifications listed and that you follow all instructions carefully. Errors or omissions may impact your rating or result in you not being considered for the job.

How to apply:

- To apply for this position, click on the "Apply" button to fill out the online application and complete the questionnaire.

  A resume and cover letter are required for this job posting. Please attach them in the "Resume / CV" section of the application.

- Only complete applications received by the posted application deadline date will be considered.
After you apply:

- Log in to your Workday account before the job announcement closes to see if you have any pending tasks or actions, and make sure to complete these tasks or actions before the job announcement closes. These can be found under the "My Applications" section.
- Be sure to check both your email and Workday account for updates regarding this recruitment.

Additional information:

- Please save a copy of this job announcement for your reference, as it may not be available for you to view after the job closes.
- You may be asked to submit a skills assessment, a writing sample, or a video interview as part of the application screening process.
- The successful candidate must have a valid driver's license and a satisfactory driving record. Prior to an offer of employment, DCBS may request that you provide an official driving record.
- This position is subject to a background check for any convictions directly related to its duties and responsibilities. Only job-related convictions will be considered and will not automatically disqualify the candidate.
- Eligible veterans who meet the qualifications will be given veterans’ preference. For further information, please see the following website: Veterans Resources. NOTE: If claiming veterans’ preference please be sure to check your Workday account for pending tasks or actions under your "My Applications" section.
- The Department of Consumer and Business Services does not offer VISA sponsorships. Within three days of hire, you will be required to complete the US Department of Homeland Security's I-9 form confirming authorization to work in the United States.

Helpful links and contact information:
Learn more about DCBS
Understanding the State Application Process
Help & Support webpage
For additional information you may contact us by e-mail at DCBS.Recruiting@oregon.gov or by phone at 503-378-3200.

DCBS is an equal opportunity, affirmative action employer committed to workforce diversity, equity, and inclusion.